

Disadvantaged Business Enterprise (DBE) Program



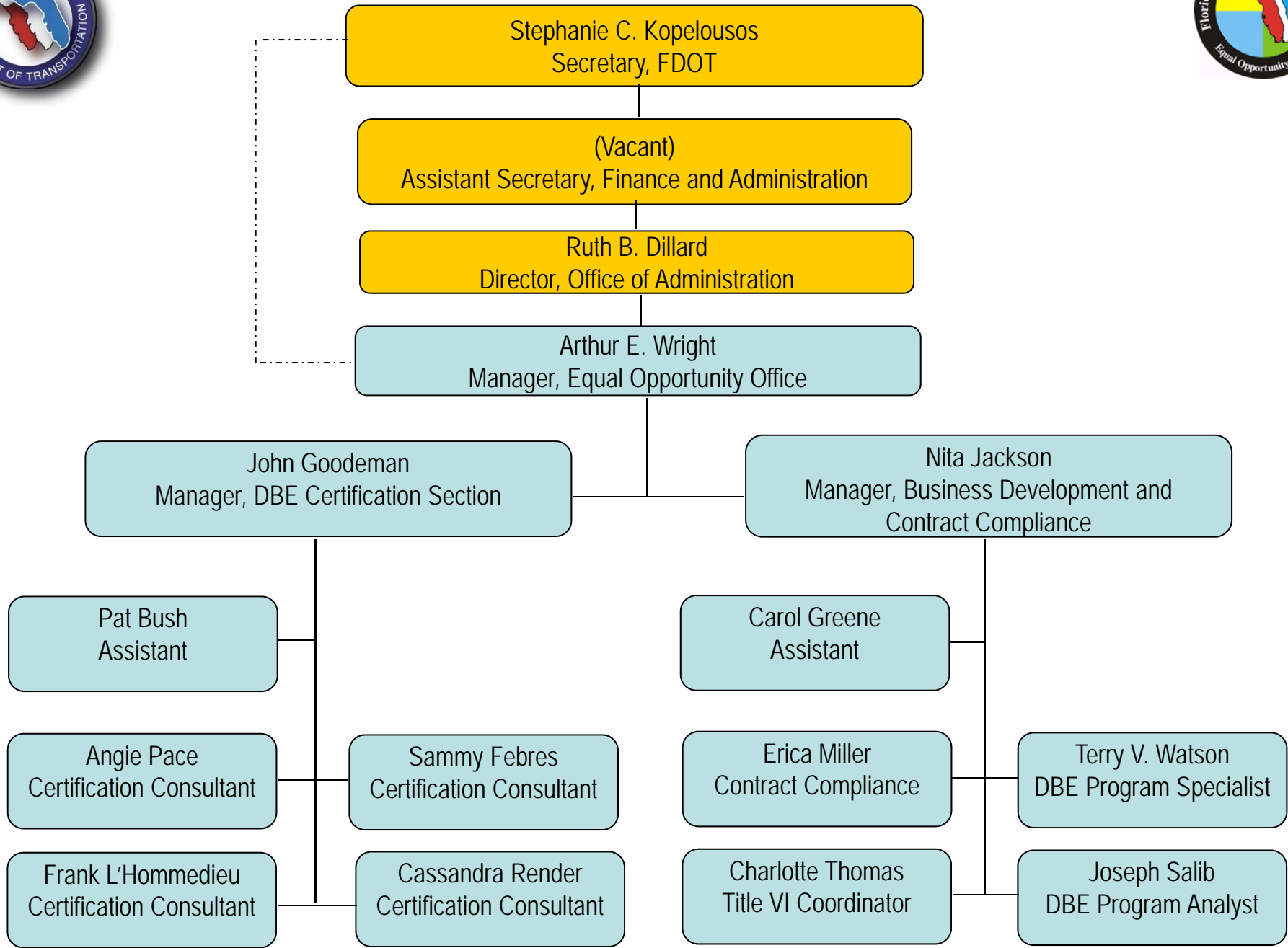
**Florida Department of Transportation
Equal Opportunity Office**



Disadvantaged Business Enterprise Program



- Governed by 49 CFR Part 26
- Definition:
 - DBE means a for-profit small business concern
 - That is at least 51 percent owned by one or more individuals who are both socially and economically disadvantaged or, in the case of a corporation, in which 51 percent of the stock is owned by one or more such individuals; and
 - Whose management and daily business operations are controlled by one or more of the socially and economically disadvantaged individuals who own it.





DBE Certification Process New Applicant



- DBE Application Received & Assigned (90 days to process) * If Application is Complete*
 - Confidential
- Desk Review Conducted
- Additional Information Collected
- On-site Review Conducted
- Facts and Concerns gathered
- File given to Certification Manager (by the 60th day after all information received and on-site conducted)



DBE Certification Process New Applicant



- Certification Manager Reviews
- Certification Manager & representative from State Highway Engineer's Office makes recommendation
- Manager, EOO, Approves or Denies



DBE Certification



- Certification is not a prequalification
- Certification is for Specific Types of Work
- Burden of Proof on Applicant
- Once Certified, Burden shifts to Us



Socially Disadvantaged



- Presumed Groups
 - Women
 - Black Americans
 - Hispanic Americans
 - Native Americans
 - Asian-Pacific Americans
 - Subcontinent Asian Americans
- Others if Documented



Socially Disadvantaged Individuals



- **Must Show Ownership**
 - 51% by Disadvantaged Owner
 - Real & Substantial; Not Just on Paper
 - Contribution of Capital or Expertise
- **Must Control Business**
 - Independent – Does Not rely on Others
 - Makes Day to Day & Long Term Decisions



Economically Disadvantaged



- Personal Net Worth At or Below \$750,000
- Each Qualifying Owner
- Exclude Ownership in Business
- Exclude Equity in Primary Residence



Certified DBEs (As of 1/26/10)



| | | |
|-------------------------|----------|----------|
| Black Americans | 255 | (24.52%) |
| Hispanic Americans | 247 | (23.75%) |
| Native Americans | 15 | (1.44%) |
| Asian Pacific Americans | 47 | (4.52%) |
| Subcontinent Asian | 42 | (4.04%) |
| Women | 434 | (41.73%) |
| Other | <u>0</u> | (0%) |
| Total | 1040 | |



Certified DBEs (By District)



| | | |
|--------------|------------|-----------------|
| District 1 | 74 | (7.12%) |
| District 2 | 97 | (9.33%) |
| District 3 | 79 | (7.60%) |
| District 4 | 151 | (14.52%) |
| District 5 | 209 | (20.10%) |
| District 6 | 148 | (14.23%) |
| District 7 | 124 | (11.92%) |
| Out of State | <u>158</u> | <u>(15.19%)</u> |
| Total | 1040 | |



Reasons to use a DBE

- Contractors get 4 bonus points on contractor grading
- Recognition from FDOT Secretary (Letters)
- Recognition & Award at FTBA Annual Convention
- Recognition & Award at FICE Annual Convention



Reasons to use a DBE



(cont.)

- DBE %'s Reported in Monthly Executive Board Meeting with District Secretaries
- Publish Reports and %'s on Internet
- Letter Grades for Primes
- Conducting Title VI Assessments
- Increases Competitive Pricing
- Good for Florida's Economy



Accomplishments Since Race Neutral

| <u>Federal Fiscal Year</u> | <u>DBE Goal</u> | <u>Federal % Achieved</u> | <u>State % Achieved</u> | <u>Combined % Achieved</u> |
|--------------------------------|---------------------|-------------------------------|-----------------------------|--------------------------------|
| 2000-2001 | 8.0% | 8.62% | 11.55% | 10.10% |
| 2001-2002 | 8.0% | 5.10% | 8.35% | 6.57% |
| 2002-2003 | 8.0% | 7.83% | 8.61% | 8.35% |
| 2003-2004 | 7.5% | 7.55% | 7.92% | 7.78% |
| 2004-2005 | 7.5% | 8.03% | 7.50% | 7.72% |
| 2005-2006 | 7.9% | 8.06% | 9.11% | 8.71% |
| 2006-2007 | 8.1% | 8.15% | 9.96% | 9.35% |
| 2007-2008 | 8.1% | 7.75% | 9.49% | 9.00% |
| 2008-2009 | 8.12% | 8.16% | 10.00% | 9.08% |
| 10/09-01/10 | 8.18% | 8.96% | 9.24% | 9.08% |



Contacts

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Websites

Equal Opportunity Office

www.dot.state.fl.us/equalopportunityoffice/

DBE Certification Application & DBE Directory

www.dot.state.fl.us/equalopportunityoffice/dbecertification.shtm

Contractor & Consultant DBE Utilization Letter Grades

www.dot.state.fl.us/equalopportunityoffice/dbereports.shtm

Business Development Initiative (BDI) Reserved Projects

www.dot.state.fl.us/equalopportunityoffice/reservedcontracts.shtm

Blackmon – Roberts Group (Supportive Services Provider)

www.blackmonroberts.com/